

10-30-03

1636

EXPRESS MAIL NO. EV400331873US

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	09/875,494
Filing Date	June 5, 2001
First Named Inventor	Tseng-hui Timothy Chen
Group Art Unit	1636
Examiner Name	David A. Lambertson
Attorney Docket No.	142

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____
--	---	--

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Kristen K. Walker Registration No. 52,335	32111 TECH CENTER PATENT TRADEMARK OFFICE
Signature	<i>Kristen K. Walker</i>	
Date	October 29, 2003	

## CERTIFICATE OF MAILING

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Kelli J. Endreson	
Signature	<i>Kelli J. Endreson</i>	Date: October 29, 2003



EXPRESS MAIL NO. EV400331873US

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 29, 2003

Date

Kelli J. Endreson

Kelli J. Endreson

IN THE UNITED STATES PATENT AND TRADEMARK Office

Applicant : Tseng-hui Timothy Chen et al.  
Application No. : 09/875,494 Confirmation No.: 7143  
Filed : June 5, 2001  
For : NOVEL LEADER PEPTIDES FOR ENHANCING SECRETION OF  
RECOMBINANT PROTEIN FROM A HOST CELL  
Examiner : David A. Lambertson  
Art Unit : 1636  
Docket No. : 142  
Date : October 29, 2003

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
NOV 05 2003  
TECH CENTER 1600/2900

RESPONSE UNDER 37 C.F.R. § 1.111

Commissioner for Patents:

In response to the Office Action dated July 29, 2003, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.